

No: 011302/26/CV-TCBS

Hanoi, February 13, 2026

EXTRAORDINARY INFORMATION DISCLOSURE

To: *State Securities Commission
Vietnam Stock Exchange
Hochiminh Stock Exchange*

1. Name of organization: Techcom Securities Joint Stock Company
- Stock code: TCX
- Address: 27th, 28th and 29th floors, C5 D'Capitale Building, 119 Tran Duy Hung Street, Yen Hoa Ward, Hanoi City.
- Tel: Fax:
- E-mail: baocao_tcbs@techcombank.com.vn

2. Contents of information disclosure:

On February 13, 2026, Techcom Securities Joint Stock Company ("TCBS") received Decision No. 135/QĐ-XPHC dated 13/02/2026 on administrative sanctions from the State Securities Commission. The content of the Decision is as follows:

The Securities Commission has issued Decision No. 135/QĐ-XPHC dated 13/02/2026 with a total fine of 275.000.000 VND for the following administrative violations of TCBS:

Violation of the provisions of Point b, Clause 5, Article 24 of Government Decree No. 156/2020/ND-CP dated December 31, 2020, stipulating administrative sanctions in the field of securities and securities market (Decree No. 156/2020/ND-CP):

"Providing other services that must be reported to the State Securities Commission (SSC) before implementation but have not been reported to the SSC, or have not received written opinions from the SSC, or have not received guiding regulations from competent state agencies" (During the period from May 9, 2025 to October 20, 2025, the Company provided services for buying/selling ETF Techcom Capital (FUETCC50) fund certificates without reporting to the SSC, without receiving written opinions from the SSC, or without receiving guiding regulations from competent state agencies).

3. This information was posted on TCBS website on February 13, 2026 at this link:
<https://www.tcbs.com.vn>.

We hereby declare to be responsible for the accuracy and completeness of the above information.

TECHCOM SECURITIES JOINT STOCK COMPANY

Person authorized to disclose information



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