

**TECHCOM SECURITIES
JOINT STOCK COMPANY**

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No: 032402/26/CV-TCBS

Hanoi, February 24, 2026

EXTRAORDINARY INFORMATION DISCLOSURE

**To: State Securities Commission
Vietnam Stock Exchange
Hochiminh Stock Exchange**

1. Name of organization: Techcom Securities Joint Stock Company
- Stock code: TCX
 - Address: 27th, 28th and 29th floors, C5 D'Capitale Building, 119 Tran Duy Hung Street, Yen Hoa Ward, Hanoi City.
 - Tel: Fax:
 - E-mail: baocao_tcbs@techcombank.com.vn

2. Contents of information disclosure:

Techcom Securities Joint Stock Company ("TCBS") hereby discloses:

- Notice No. 012302/CV-TCBS of Techcom Securities Joint Stock Company on the Issuance of Share under the Employee Stock Ownership Plan.
- Official Letter No. 1465/UBCK-QLKD of the State Securities Commission on the receipt of documents reporting on the Issuance of Share under the Employee Stock Ownership Plan of Techcom Securities Joint Stock Company.

(Details as in the attached document)

3. This information was posted on TCBS website on February 24, 2026 at this link:
<https://www.tcbs.com.vn>.

We hereby declare to be responsible for the accuracy and completeness of the above information.

Attached documents:

- Notice No.
012302/CV-TCBS
- Official Letter No.
1465/UBCK-QLKD

TECHCOM SECURITIES JOINT STOCK COMPANY

Person authorized to disclose information



NGO HOANG HA



NOTICE

Regarding the Issuance of Share under the Employee Stock Ownership Plan (ESOP)

I. INTRODUCTION OF ISSUING COMPANY

1. Name of the issuing company : Techcom Securities Joint Stock Company
("Issuing Company" or "Company")
2. Abbreviation name : N/A
3. Head office : Floors 27–29, Building C5 D'Capitale, 119 Tran Duy Hung, Yen Hoa Ward, Hanoi, Vietnam
4. Tel : 024.39446368 - Fax: 024.39446583
Website: www.tcbs.com.vn
5. Charter Capital : 23.113.080.210.000 VND (*Twenty-three trillion one hundred thirteen billion eighty million two hundred ten thousand Vietnam dong*)
6. Ticker symbol : TCX
7. Bank account opened at : Techcombank
Account number : 19133336666784
8. Enterprise registration certificate : No. 0102935813 first issued on 30/05/2018 by the Business Registration Office – Department of Planning and Investment of Hanoi City, and amended for the 11th time on 26/09/2025 by the Business Registration and Corporate Finance Office – Department of Finance of Hanoi City
 - Principal business line: Commodity and securities brokerage. Details: securities brokerage (business code: 6612)
 - Principal products/services: securities brokerage, proprietary trading, securities investment advisory, and securities underwriting.
9. Establishment and operation license : License No. 125/GP-UBCK issued by the State Securities Commission on 30/05/2018, most recently amended under Adjustment License No. 93/GPĐC-UBCK issued by the State Securities Commission on 19/09/2025

II. PURPOSE OF THE ISSUANCE

Upon the completion of the issuance, all proceeds obtained from the ESOP share offering will be used to increase the Company's charter capital, and the Company will allocate such proceeds for the following purposes:

- For proprietary trading activities (*including stocks and bonds trading*) and investment activities in valuable papers.



III. ISSUANCE PLAN

1. Name of shares : Shares of Techcom Securities Joint Stock Company
2. Type of shares : Ordinary shares
3. Total issued shares : 2.311.308.021 (*Two billion three hundred eleven million three hundred eight thousand twenty-one*) shares
4. Total outstanding shares : 2.311.308.021 (*Two billion three hundred eleven million three hundred eight thousand twenty-one*) shares
5. Treasury shares : 0 shares
6. Expected numbers of shares to be issued : 275.475 (*Two hundred seventy-five thousand four hundred seventy-five*) shares
7. Total expected issuance value at par value : 2.754.750.000 VND (*Two billion seven hundred fifty-four million seven hundred fifty thousand Vietnam dong*)
8. Issuance Ratio : 0,01192% of total outstanding shares
9. Transfer restriction period : ESOP Shares are subject to a transfer restriction period of 01 year from the completion date of the issuance, except where the shares are repurchased in accordance with applicable laws
10. Issue Price : 10.000 VND (*Ten thousand Vietnam dong*)/shares
11. Escrow account for receiving share payments
 - Account number : 122000112999
 - Opened at : Techcombank – Chuong Duong Branch
12. Funding source for the issuance (in case of issuance of bonus shares to employees) : N/A
13. Payment period for share payment : From 27/02/2026 to 15h of 02/03/2026

25
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Hanoi, 23 February 2026

TECHCOM SECURITIES JOINT STOCK COMPANY

LEGAL REPRESENTATIVE
CHAIRMAN OF BOARD OF DIRECTORS



125
CÔNG TY
CỔ PHẦN
CHỨNG KHOÁN
KỸ THƯƠNG
P. YÊN HÒA - T.P. HÀ NỘI

NGUYEN XUAN MINH



C.T.C.P
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NG
P. HÀ NỘI

**MINISTRY OF FINANCE
STATE SECURITIES COMMISSION**

**SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness**

No. 1465/UBCK-QLKD

Hanoi, February 13, 2026

Issuance of shares under the option program
for employees in the company of Techcom
Securities Joint Stock Company

To: Techcom Securities Joint Stock Company

The State Securities Commission (SSC) received the document on the Issuance of Share under the Employee Stock Ownership Plan according to the issuance report No. 011201/26/CV-TCBS dated January 12, 2026 of Techcom Securities Joint Stock Company (the Company). The SSC has the following opinions:

1. Organizations and individuals participating in the process of making dossiers and reporting documents related to securities and securities market activities shall be responsible before law for the legality, accuracy, truthfulness and completeness of the reporting dossiers and documents; Organizations and individuals participating in certifying dossiers and reporting documents shall be responsible before law to the extent related to such dossiers and reporting documents according to the provisions of Clause 1, Article 11a of the Law on Securities supplemented in Clause 4, Article 1 of Law No. 56/2024/QH15.

2. The SSC has disclosed on the website of the SSC the receipt of a full report on the issuance of shares under the option program for employees in the company's company. The plan to issue shares under the option program for employees of the Company is implemented in accordance with the plan in the Resolution of the General Meeting of Shareholders No. 022612/25/NQ-ĐHĐCĐ-TCBS dated 26/12/2025, the Resolution of the Board of Directors No. 011201/26/NQ-HĐQT-TCBS dated 12/01/2026 and the provisions of current law.

3. The SSC requests the Company to report and disclose information in accordance with the Government's Decree No. 155/2020/ND-CP dated December 31, 2020 detailing the implementation of a number of articles of the Law on Securities amended and supplemented by Decree No. 245/2025/ND-CP.

The SSC notifies the Company to know./.

Recipient:

- As above;
- Chairman (for reporting);
- VNX, HOSE, VSDC;
- Save: VT, QLKD (07b)

**TL. CHAIRMAN
HEAD OF SECURITIES BUSINESS MANAGEMENT BOARD
DEPUTY HEAD OF DEPARTMENT**

Signed

Le Thi Mai