

**TECHCOM SECURITIES  
JOINT STOCK COMPANY**

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**

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No: 061703/26/CV-TCBS

Hanoi, March 17, 2026

**EXTRAORDINARY INFORMATION DISCLOSURE**

**To: State Securities Commission  
Vietnam Stock Exchange  
Hochiminh Stock Exchange**

1. Name of organization: Techcom Securities Joint Stock Company
  - Stock code: TCX
  - Address: 27th, 28th and 29th floors, C5 D'Capitale Building, 119 Tran Duy Hung Street, Yen Hoa Ward, Hanoi City.
  - Tel: Fax:
  - E-mail: [baocao\\_tcbs@techcombank.com.vn](mailto:baocao_tcbs@techcombank.com.vn)

2. Contents of information disclosure:

Techcom Securities Joint Stock Company ("TCBS") hereby announces the amendment to the License for establishment and securities business operation No. 36/GPĐC-UBCK issued by the Chairman of the State Securities Commission on March 17, 2026.

*(Details as in the attached document)*

3. This information was posted on TCBS website on March 17, 2026 at this link: <https://www.tcbs.com.vn>. We hereby declare to be responsible for the accuracy and completeness of the above information.

**Attached documents:**

- License No.  
36/GPĐC-UBCK

**TECHCOM SECURITIES JOINT STOCK COMPANY**

*Person authorized to disclose information*





5. Receipt date of the Amendment to the license for Establishment and Operation: March 17, 2026

6. This information was published on the company's website on March 17, 2026 (date), as in the link: <https://www.tcbs.com.vn>.

**Attached documents:**

- License No. 36/GPĐC-UBCK

**TECHCOM SECURITIES JOINT STOCK COMPANY**

Person authorized to disclose information



**NGO HOANG HA**





**SOCIALIST REPUBLIC OF VIETNAM**  
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*Hanoi, March 17, 2026*

**ADJUSTMENT LICENSE OF**  
**The License for establishment and operation of securities company**

**CHAIRMAN OF STATE SECURITIES COMMISSION**

*Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;*

*Pursuant to the Government's Decree No. 155/2020/ND-CP dated December 31, 2020 detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;*

*Pursuant to the Decision No. 686/QĐ-BTC dated February 28, 2025 of the Minister of Finance regulating the functions, tasks, powers and organizational structure of the State Securities Commission;*

*Pursuant to the License for establishment and operation of securities company No. 125/GP-UBCK dated May 30, 2018 issued by the Chairman of the State Securities Commission to Techcom Securities Joint Stock Company;*

*Pursuant to the dossier of request for change of charter capital of Techcom Securities Joint Stock Company;*

*At the request of the Head of the Securities Business Management Board.*

**HEREBY DECIDES:**

**Article 1.** To amend the License for establishment and operation No. 125/GP-UBCK dated May 30, 2018 issued by the Chairman of the State Securities Commission to Techcom Securities Joint Stock Company with the following contents:

- Charter capital: 23,115,834,960,000 VND (Twenty-three thousand one hundred and fifteen billion eight hundred and thirty-four million nine hundred and sixty thousand Vietnamese dong).

**Article 2.** This License shall take effect from the date of signing and is an integral part of the License for establishment and operation No. 125/GP-UBCK dated May 30, 2018 issued by the Chairman of the State Securities Commission to Techcom Securities Joint Stock Company.



**Article 3.** The adjusted license is made in two (02) original copies: one (01) copy is issued to Techcom Securities Joint Stock Company and one (01) copy is retained at the State Securities Commission./.

**PP. CHAIRMAN  
VICE CHAIRMAN**

*(Signed and sealed)*

**Le Thi Viet Nga**

