

No: 090503/26/CV-TCBS

Hanoi, March 5, 2026

EXTRAORDINARY INFORMATION DISCLOSURE

**To: State Securities Commission
Vietnam Stock Exchange
Hochiminh Stock Exchange**

1. Name of organization: Techcom Securities Joint Stock Company

- Stock code: TCX

- Address: 27th, 28th and 29th floors, C5 D'Capitale Building, 119 Tran Duy Hung Street, Yen Hoa Ward, Hanoi City.

- Tel: Fax:

- E-mail: baocao_tcbs@techcombank.com.vn

2. Contents of information disclosure:

Notice No. 020503/26/TB-TCBS on the change in the number of voting shares of Techcom Securities Joint Stock Company.

On 04/03/2026, Techcom Securities Joint Stock Company reported to the State Securities Commission on the results of issuing shares under the option program for employees in the company according to Report No. 020203/26/CV-TCBS.

(Details as in the attached document)

3. This information was posted on TCBS website on March 5, 2026 at this link: <https://www.tcbs.com.vn>.

We hereby declare to be responsible for the accuracy and completeness of the above information.

Attached documents:

- Notice No. 020503/26/TB-TCBS
- Report No. 020203/26/CV-TCBS

TECHCOM SECURITIES JOINT STOCK COMPANY

Person authorized to disclose information



NGO HOANG HA



Appendix V
CHANGE IN NUMBER OF SHARES WITH VOTING RIGHTS
(Issued with the Decision No. 21/QĐ-SGDVN on 21/12/2021 of the CEO of Vietnam Exchange on the Information Disclosure Regulation of Vietnam Exchange))

**TECHCOM SECURITIES JOINT
STOCK COMPANY**

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.: 020503/26/TB-TCBS

Hanoi, March 5, 2026

CHANGE IN NUMBER OF SHARES WITH VOTING RIGHTS

To: Vietnam Stock Exchange/ Ho Chi Minh Stock Exchange

- Name of organization: TECHCOM SECURITIES JOINT STOCK COMPANY
- Stock code: TCX
- Address: 27th, 28th and 29th floors, C5 D'Capitale Building, 119 Tran Duy Hung, Yen Hoa Ward, Hanoi City
- Tel/Fax:
- Email: baocao_tcbs@techcombank.com.vn
- Website:

After the end of the issuance of shares under the option program for employees in the company, Techcom Securities Joint Stock Company announces the change in the number of outstanding voting shares as follows:

STT	Contents	Before change	Change	After change	Reason for change
1	Charter capital (VND)	23,113,080,210,000	2,754,750,000	23,115,834,960,000	Issuance of shares under the option program for employees in the company
2	Total number of shares (Shares)	2,311,308,021	275,475	2,311,583,496	
3	Number of treasury shares (shares)	0	0	0	Unchanged
4	Number of shares with voting rights (shares)	2,311,308,021		2,311,583,496	Issuance of shares under the option program for employees in the company
5	Others (if any)	0	0	0	Unchanged

Attached documents:

- Report on the results of the issuance of shares under the option program for employees in the company

TECHCOM SECURITIES JOINT STOCK COMPANY

Person authorized to disclose information



NGO HOANG HA

Số: 020203/26/CV-TCBS

Hanoi, March 2, 2026

REPORT

On the Result of Share Issuance under the Employee Stock Ownership Plan (ESOP)

Respectfully to: State Securities Commission

I. INTRODUCTION OF ISSUING COMPANY

1. Name of the issuing company : Techcom Securities Jopint Stock Company
("Issuing Company" or "Company")
2. Abbreviation name : TECHCOM SECURITIES
3. Head office : Floors 27–29, Building C5 D'Capitale, 119 Tran Duy Hung, Yen Hoa Ward, Hanoi, Vietnam
4. Tel : 024.39446368 - Fax: 024.39446583
Website: www.tcbs.com.vn
5. Charter Capital : 23.113.080.210.000 VND (*Twenty-three trillion one hundred thirteen billion eighty million two hundred ten thousand Vietnam dong*)
6. Ticker symbol : TCX
7. Bank account opened at : Techcombank
Account number : 19133336666784
8. Enterprise registration certificate : No. 0102935813 first issued on 30/05/2018 by the Business Registration Office – Department of Planning and Investment of Hanoi City, and amended for the 11th time on 26/09/2025 by the Business Registration and Corporate Finance Office – Department of Finance of Hanoi City.
 - Principal business line: Commodity and securities brokerage. Details: securities brokerage (business code: 6612)
 - Principal products/services: securities brokerage, proprietary trading, securities investment advisory, and securities underwriting.
9. Establishment and operation license : License No. 125/GP-UBCK issued by the State Securities Commission on 30/05/2018, most recently amended under Adjustment License No. 93/GPĐC-UBCK issued by the State Securities Commission on 19/09/2025.



II. ISSUANCE PLAN

1. Name of shares : Shares of Techcom Securities Joint Stock Company
2. Type of shares : Ordinary shares
3. Number of shares prior to issuance:
 - Total issued shares: 2.311.308.021 (*Two billion three hundred eleven million three hundred eight thousand twenty-one*) shares.
 - Total outstanding shares: 2.311.308.021 (*Two billion three hundred eleven million three hundred eight thousand twenty-one*) shares.
 - Treasury shares: 0 shares
4. Expected numbers of shares to be issued : 275.475 shares, equivalent to 0,01192% of total outstanding shares.
5. Transfer restriction period : ESOP Shares are subject to a transfer restriction period of 01 year from the completion date of the issuance, except where the shares are repurchased in accordance with applicable laws
6. Issue Price : 10.000 VND (*Ten thousand Vietnam dong*)/shares
7. Funding source for the issuance (in case of issuance of bonus shares to employees) : N/A
8. End date : 02 March 2026
9. Expected delivery date of share : In first or second Quarter of 2026

III. ISSUANCE RESULT

1. Distributed shares: 275.475 shares, equivalent to 100% expected issued shares.
2. Number of employees: 22.
3. Total number of shares (02 March 2026): 2.311.583.496 shares, in which:
 - Total number of ourstanding shares: 2.311.583.496 shares;
 - Treasury shares: 0.

IV. ATTACHMENTS

1. List of employees entitled to ESOP.
2. Confirmation letter of Vietnam Joint Stock Commercial Bank for Industry and Trade – Chuong Duong Branch.

Hanoi, March 2, 2026

TECHCOM JOINT STOCK COMPANY

LEGAL REPRESENTATIVE

CHAIRMAN



NGUYEN XUAN MINH

TY
IÂN
HO
ON
T.P.

**APPENDIX: LIST OF EMPLOYEES PARTICIPATING IN THE ESOP PROGRAM IN
2025 OF TCBS**

(Attached to Report No. 020203/26/CV-TCBS dated 02/03/2026)

No.	Name	Total number of shares registered for purchase and distributed
1	Phạm Diệu Linh	16.452
2	Trần Thị Thu Trang	15.556
3	Nguyễn Tuấn Cường	13.591
4	Nguyễn Đăng Minh	12.715
5	Quách Thùy Linh	11.920
6	Đoàn Mai Hạnh	10.875
7	Bùi Minh Quân	10.522
8	Bùi Thị Thu Hằng	10.446
9	Đinh Thị Thu Nga	10.158
10	Đỗ Thanh Tùng	8.100
11	Đặng Trung Thành	7.520
12	Ngô Thanh Tâm	7.504
13	Trịnh Thế Thành	7.430
14	Lê Văn Khuyến	7.017
15	Lương Thị Minh Phương	6.484
16	Lưu Minh Trung	6.424
17	Phạm Thúy Quỳnh	5.795
18	Vũ Long	5.781

No.	Name	Total number of shares registered for purchase and distributed
19	Nguyễn Văn Dương	5.628
20	Nguyễn Tuấn Anh	31.533
21	Ngô Hoàng Hà	35.595
22	Nguyễn Thị Thu Hiền	28.429
		275.475

