

**REPORT OF THE SUPERVISORY BOARD
ON 2025 ACTIVITIES AND 2026 PLAN**

To: The Annual General Meeting of Shareholders of Techcom Securities Joint Stock Company (“the Company” or “TCBS”)

Pursuant to the Charter of TCBS and the Regulations on the organization and operation of the Supervisory Board (“SB”), the Supervisory Board respectfully reports to the Company’s Annual General Meeting of Shareholders (“AGM”) on its activities in 2025 and the operational direction for 2026 as follows:

1. Composition of the Supervisory Board:

The Supervisory Board operated with three (03) members, detailed as follows:

No.	Members of Supervisory Board	Position	Date of appointment / End of tenure	Meetings attended in the year
1	Mr. Dang Van Khai	Head of SB	Appointment date: 31/05/2018	03/03 (100%)
2	Ms. Hoang Thi Kim Cuc	Member	Appointment date: 31/05/2018	03/03 (100%)
3	Ms. Le Thi Thu Huong	Member	Appointment date: 26/4/2023	03/03 (100%)

2. Summary of SB meetings, conclusions and recommendations

- During the reporting period, the Supervisory Board convened regular meetings and sought written opinions as necessary to fully perform its functions and responsibilities.
- Through its supervisory activities, the Supervisory Board submitted recommendations and proposals to the Company’s Management Board to continuously enhance risk management and compliance oversight within the Company.

3. Report on monitoring the Company's business and financial activities

- The Company achieved significant business results in 2025, reflected in key financial indicators as follows:

(Unit: VND billion)

No.	Indicator	2025	2024
1	Total assets	80,632	53,244
2	Equity	44,100	26,297

No.	Indicator	2025	2024
3	Total revenue	11,217	7,615
4	Profit before tax	7,109	4,802
5	Profit after tax	5,683	3,850
6	Capital adequacy ratio	490%	381%

- Regarding financial statement assessment, the SB concurs with the opinion of the independent auditor EY:

"The accompanying financial statements present fairly, in all material respects, the financial position of the Company as of December 31, 2025, its operating results, cash flows, and changes in equity for the fiscal year then ended in accordance with Vietnamese Accounting Standards, the Vietnamese Enterprise Accounting System, the accounting guidelines applicable to securities companies, and relevant legal regulations on the preparation and presentation of financial statements."

4. Remuneration, operating expenses, and other benefits of the SB and its members

In 2025, the remuneration, operating expenses, and other benefits of the SB and its members were paid in accordance with the regulations of the Company, and are fully disclosed in the 2025 audited financial statements.

5. Supervisory results on the activities of the Board of Directors ("the Board") and Management Board

5.1. Implementation of AGM resolutions

Resolutions of the AGM (approved at the annual meeting and/or by written consent) were fully directed and implemented by the Board in accordance with regulations, with implementation results reported at subsequent annual AGM sessions.

✓ **Regarding the implementation of the 2025 AGM resolution (April 22, 2025):**

- The Company's business performance in 2025 was actively executed by Management and exceeded the targets set.

Unit: VND billion

No.	Indicator	2025 plan	2025 actual	% completion
1	Total revenue	9,323	11,217	120%
2	Profit before tax	5,765	7,109	123%

- Completion of 2024 performance-based bonus payments to the members of the Board of Techcom Securities JSC.

✓ **Regarding the implementation of resolutions approved via written consent:**

- Completion of selecting an independent auditing firm to audit the Company's capital as approved by the AGM.
- Completion of listing bonds offered publicly by the Company as approved by the AGM.
- Completion of the dismissal of Board members as approved by the AGM.



- Approval of the number of Board members for the remainder of the 2023–2028 term.
- Completion of amendments and supplements to the Company’s Charter as approved by the AGM.
- Completion of amendments and supplements to the Board’s Regulations as approved by the AGM.
- Completion of amendments and supplements to the Supervisory Board’s Regulations as approved by the AGM.
- Completion of amendments and supplements to the internal corporate governance regulations as approved by the AGM.
- ✓ **Regarding the 2024 dividend payment plan approved via written consent (dated November 21, 2025):**
The process is currently ongoing.

5.2. Activities of the Board, Management Board

- The Board convened meetings and approved resolutions both in-person and by written consent in accordance with applicable law and the Company’s Charter.
- All Board meetings were agenda-driven, properly documented, and resolutions/decisions were issued in the correct sequence, within the Board’s authority, and in compliance with applicable law and the Company’s Charter.
- The Board and the Management Board promptly implemented all resolutions of the AGM.

5.3. Transactions between the company and board members, Chief Executive Officer, other executives, related parties, and related enterprises

The approval and execution of contracts and transactions between the Company and related parties within the Board’s decision-making authority were conducted in full compliance with legal regulations, the Charter, and the Company’s internal regulations.

6. Self-assessment report on the performance of the Supervisory Board and its members

The SB has carried out the tasks delegated by the AGM and has operated in compliance with the provisions of the Law, the Company's Charter and the Regulation on the operation of the SB, specifically as follows:

- ✓ Supervising the Board and the Management Board in implementing the Resolutions of the AGM, the Resolutions of the Board, the Company Charter, and the provisions of the Law.
- ✓ Reviewing the summary financial and business reports prepared by the Management Board.
- ✓ Reviewing the audit of the semi-annual and annual audited financial statements to ensure there are no material errors in the financial data, reflecting the true and fair aspects in compliance with the Law.
- ✓ Organizing regular meetings as stipulated in the Charter and the Company's internal regulations to discuss the activities of the Supervisory Board and the Company's operations.
- ✓ Other tasks in accordance with the SB's functions and obligations.



7. Evaluation results of the coordination between the Supervisory Board and The Board / Chief Executive Officer

The coordination between the SB, The Board, the CEO, and other management personnel of the Company has been ensured in accordance with applicable laws, the Company's Charter, and in the best interest of the Company. The Board and CEO have facilitated the operational activities of the SB. Recommendations issued by the SB have been duly considered, monitored, and directed by the Board and CEO, with relevant units instructed to implement them.

8. 2026 key tasks and strategic directions

Based on the functions and responsibilities of the SB and the Company's operational orientations, the SB's focal points for 2026 are as follows:

- Conduct regular inspections and supervise compliance with legal regulations, the Company's Charter, resolutions of the General Meeting of Shareholders, as well as resolutions and decisions issued by the Board.
- Actively utilize and coordinate with the Company's internal audit department to execute assigned duties.
- Perform other tasks as required by applicable laws and the Company's Charter.

The above constitutes the 2025 operational results and the 2026 activity plan of the SB, respectfully submitted to the Annual General Meeting of Shareholders./.

ON BEHALF OF THE SUPERVISORY BOARD

HEAD OF THE SUPERVISORY BOARD



DANG VAN KHAI

