

**TECHCOM SECURITIES  
JOINT STOCK COMPANY**

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**

No: 010307/26/CV-TCBS

Hanoi, July 3, 2026

**EXTRAORDINARY INFORMATION DISCLOSURE**

**To: State Securities Commission  
Vietnam Stock Exchange  
Hochiminh Stock Exchange**

1. Name of organization: Techcom Securities Joint Stock Company
- Stock code: TCX
  - Address: 27th, 28th and 29th floors, C5 D'Capitale Building, 119 Tran Duy Hung Street, Yen Hoa Ward, Hanoi City.
  - Tel: Fax:
  - E-mail: baocao\_tcbs@techcombank.com.vn

2. Contents of information disclosure:

Notice No. 010307/26/TB-TCBS on the change in the number of voting shares of Techcom Securities Joint Stock Company.

Report No. 010207/26/BC-TCBS on the results of issuing shares under the option program for employees in the company.

*(Details as in the attached document)*

3. This information was posted on TCBS website on July 3, 2026 at this link: <https://www.tcbs.com.vn>.  
We hereby declare to be responsible for the accuracy and completeness of the above information.

**Attached documents:**

- Notice No. 010307/26/TB-TCBS
- Report No. 010207/26/BC-TCBS

**TECHCOM SECURITIES JOINT STOCK COMPANY**

*Person authorized to disclose information*



**NGO HOANG HA**



**Appendix V**  
**CHANGE IN NUMBER OF SHARES WITH VOTING RIGHTS**  
(Issued with the Decision No. 21/QĐ-SGDVN on 21/12/2021 of the CEO of Vietnam Exchange on the Information Disclosure Regulation of Vietnam Exchange))

**TECHCOM SECURITIES JOINT  
STOCK COMPANY**

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**

-----  
No.: 010307/26/TB-TCBS

Hanoi, July 3, 2026

**CHANGE IN NUMBER OF SHARES WITH VOTING RIGHTS**

**To: Vietnam Stock Exchange/ Ho Chi Minh Stock Exchange**

- Name of organization: TECHCOM SECURITIES JOINT STOCK COMPANY
- Stock code: TCX
- Address: 27th, 28th and 29th floors, C5 D'Capitale Building, 119 Tran Duy Hung, Yen Hoa Ward, Hanoi City
- Tel/Fax:
- Email: baocao\_tcbs@techcombank.com.vn
- Website:

After the end of the issuance of shares under the option program for employees in the company, Techcom Securities Joint Stock Company announces the change in the number of outstanding voting shares as follows:

STT	Contents	Before change	Change	After change	Reason for change
1	Charter capital (VND)	27,738,968,030,000	5,565,220,000	27,744,533,250,000	Issuance of shares under the option program for employees in the company
2	Total number of shares (Shares)	2,773,896,803	556,522	2,774,453,325	
3	Number of treasury shares (shares)	0	0	0	Unchanged
4	Number of shares with voting rights (shares)	2,773,896,803	556,522	2,774,453,325	Issuance of shares under the option program for employees in the company
5	Others (if any)	0	0	0	Unchanged

**Attached documents:**

- Report on the results of the issuance of shares under the option program for employees in the company

**TECHCOM SECURITIES JOINT STOCK COMPANY**

Person authorized to disclose information



NGO HOANG HA

**TECHCOM SECURITIES  
JOINT STOCK COMPANY**

**SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

No.: 010207/26/BC-TCBS

Hanoi, 02 July 2026

**REPORT**

**On the results of the share issuance under the employee stock ownership plan (ESOP)**

To: The State Securities Commission

**I. INTRODUCTION TO THE ISSUER**

1. Name of the issuer : Techcom Securities Joint Stock Company  
("Issuer" or "Company")
2. Abbreviated name : TECHCOM SECURITIES
3. Head office address : 27th, 28th and 29th Floors, C5 D'Capitale Building, No. 119  
Tran Duy Hung Street, Yen Hoa Ward, Hanoi City, Vietnam
4. Telephone : 024.3944636
5. Fax : 024.39446583
6. Website : [www.tcbs.com.vn](http://www.tcbs.com.vn)
7. Charter capital : **27,738,968,030,000 VND** (*Twenty-seven thousand seven  
hundred and thirty-eight billion nine hundred and sixty-eight  
million and thirty thousand Vietnamese Dong*)
8. Stock code : TCX
9. Place of payment account : Vietnam Technological and Commercial Joint Stock Bank  
(Techcombank)  
  
Account number : 19133336666784
10. Enterprise Registration Certificate : No. 0102935813, first issued on 30 May 2018 by the Business  
Registration Office - Hanoi Department of Planning and  
Investment; 13th amended registration issued on 23 June 2026



by the Business and Corporate Finance Registration Office - Hanoi Department of Finance.

- Principal business lines

Brokerage of commodity and securities contracts.

*Details: securities brokerage (Industry code: 6612)*

Other financial service support activities not elsewhere classified.

*Details: securities investment advisory; securities proprietary trading; securities underwriting (Industry code: 6619).*

- Principal products/services

- Securities brokerage;

- Securities proprietary trading;

- Securities investment advisory;

- Securities underwriting.

11. Establishment and Operation License

: No. 125/GP-UBCK issued by the State Securities Commission on 30 May 2018, most recently amended under Amendment License No. 71/GPĐC-UBCK issued by the State Securities Commission on 15 June 2026.

## II. ISSUANCE PLAN

1. Name of shares : Shares of Techcom Securities Joint Stock Company

2. Type of shares : Ordinary shares

3. Number of shares prior to the issuance

- Total number of issued shares : 2,773,896,803 shares

- Number of outstanding shares : 2,773,896,803 shares

- Number of treasury shares : 0 shares

4. Number of shares : **556,522 shares**, equivalent to 0.02006% of the total number of outstanding shares expected to be issued
5. Transfer restriction period : The shares are restricted from transfer for a period of 01 (one) year from the closing of the Issuance, unless otherwise provided by law.
6. Issue price : **VND 10,000 (ten thousand Dong) per share**
7. Source of funds for the issuance (in the case of bonus shares issued to employees) : **Not applicable**
8. Closing date of the issuance : **02 July 2026**
9. Expected date of share transfer : **Expected in Q2 or Q3 of 2026**

### **III. RESULTS OF THE SHARE ISSUANCE**

1. Number of shares distributed: **556,522 shares**, equivalent to 100% of the total number of shares expected to be issued.
2. Number of employees to whom shares were distributed: **28 employees**.
3. Total number of shares after the issuance (as of 02 July 2026): **2,774,453,325 shares**, of which:
  - Number of outstanding shares: **2,774,453,325 shares**;
  - Number of treasury shares: **0 shares**.

### **IV. ACCOMPANYING DOCUMENTS**

1. **List of employees participating in the share issuance under the employee stock ownership plan (Appendix I attached to this Report)**
2. **Confirmation letter from the Joint Stock Commercial Bank for Investment and Development of Vietnam (BIDV) - Ha Thanh Branch.**

Hanoi, 02 July 2026

**TECHCOM SECURITIES JOINT STOCK COMPANY**

**LEGAL REPRESENTATIVE**

**CHAIRMAN OF BOARD OF DIRECTORS**



**NGUYEN XUAN MINH**

==  
-  
G  
PH  
i  
H  
-  
A  
==

**APPENDIX: LIST OF EMPLOYEES (“EMPLOYEES”) PARTICIPATING IN TCBS’S  
2026 ESOP**

*(Attached to Report No. 010207/26/BC-TCBS dated 02 July 2026)*

<b>No.</b>	<b>Full name</b>	<b>Total number of shares registered for purchase and distributed to the employee</b>
1	Phạm Diệu Linh	37,842
2	Trần Thị Thu Trang	36,347
3	Nguyễn Tuấn Cường	31,488
4	Nguyễn Đăng Minh	30,689
5	Quách Thùy Linh	28,117
6	Đoàn Mai Hạnh	17,762
7	Bùi Minh Quân	19,968
8	Bùi Thị Thu Hằng	17,006
9	Đinh Thị Thu Nga	16,391
10	Đỗ Thanh Tùng	13,268
11	Đặng Trung Thành	12,228
12	Ngô Thanh Tâm	12,511
13	Trịnh Thế Thành	18,439
14	Lê Văn Khuyến	16,740
15	Lương Thị Minh Phương	11,123
16	Lưu Minh Trung	10,632
17	Phạm Thúy Quỳnh	9,412
18	Vũ Long	9,417
19	Nguyễn Văn Dương	13,065

No.	Full name	Total number of shares registered for purchase and distributed to the employee
20	Nguyễn Tuấn Anh	56,955
21	Ngô Hoàng Hà	56,297
22	Nguyễn Thị Thu Hiền	46,261
23	Phạm Thế Dũng	3,162
24	Phạm Thúy Vân	4,157
25	Đoàn Hữu Dũng	7,631
26	Nguyễn Thị Xuân Mai	7,575
27	Nguyễn Hà Thanh	5,068
28	Hoàng Hiếu Minh	6,971
	<b>Total</b>	<b>556,522</b>





**NGÂN HÀNG TMCP ĐẦU TƯ VÀ PHÁT TRIỂN VIỆT NAM**  
**Chi nhánh Hà Thành**

No.: 213/BIDV.HT-GD&DV CK

Hanoi, July 2, 2026

**CONFIRMATION OF JOINT STOCK COMMERCIAL BANK FOR INVESTMENT AND DEVELOPMENT OF VIETNAM (BIDV) - HA THANH BRANCH**

**Regarding the confirmation of funds in the blocked account for receiving proceeds from the employee stock ownership plan (ESOP) share offering**

**To: TECHCOM SECURITIES JOINT STOCK COMPANY**

The Joint Stock Commercial Bank for Investment and Development of Vietnam – Ha Thanh Branch hereby confirms the balance in the blocked account established to receive proceeds from the share offering under the employee stock ownership plan of Techcom Securities Joint Stock Company (“the Company”), which has been opened with our bank as follows:

**1. Information on the Company’s Blocked Account**

Account Holder: TECHCOM SECURITIES JOINT STOCK COMPANY

Address: Floors 27, 28 and 29, C5 D’Capitale Building, No. 119 Tran Duy Hung Street, Yen Hoa Ward, Hanoi City, Vietnam.

Establishment and Operation License No.: 125/GP-UBCK issued by the State Securities Commission on May 30, 2018, most recently amended under Amendment License No. 71/GPĐC-UBCK issued by the State Securities Commission on June 15, 2026.

Enterprise Registration Certificate No.: 0102935813, initially issued on May 30, 2018 by the Business Registration Office – Hanoi Department of Planning and Investment; latest amendment registration (13<sup>th</sup> amendment) issued on June 23, 2026 by the Business Registration and Corporate Finance Division – Hanoi Department of Finance.

Blocked Account for Receiving Share Subscription Proceeds under the ESOP Share Offering: Account No. **1220003462**, opened at the Joint Stock Commercial Bank for Investment and Development of Vietnam – Ha Thanh Branch.

**2. Confirmation of Balance in the Blocked Account**

As of 02:00 PM on July 2, 2026, the Joint Stock Commercial Bank for Investment and Development of Vietnam – Ha Thanh Branch confirms that the amount blocked in Account No. 1220003462 of Techcom Securities Joint Stock Company maintained at BIDV – Ha Thanh Branch is: **VND 5,565,683,905** (*In words: Five billion five hundred sixty-five million six hundred eighty-three thousand nine hundred and five Vietnamese dong*). This amount comprises:

- Proceeds received from the ESOP share offering: **VND 5,565,220,000** (*In words: Five billion five hundred sixty-five million two hundred twenty thousand Vietnamese dong*).
- Interest accrued on the demand deposit account: **VND 448,905** (*In words: Four hundred forty-eight thousand nine hundred and five Vietnamese dong*).
- Other miscellaneous fund: **VND 15,000** (*In words: Fifteen thousand Vietnamese dong*).

**3. Conditions for Release of the Blocked Funds**

The Joint Stock Commercial Bank for Investment and Development of Vietnam – Ha Thanh Branch shall release the blocked funds mentioned above only upon receipt of an official request from the

Company for the release of the blocked account and a document issued by the State Securities Commissions confirming its receipt of the report on the results of the share offering under the employee stock ownership plan of Techcom Securities Joint Stock Company.

This confirmation is made in 04 original counterparts, each having equal legal validity. Techcom Securities Joint Stock Company retains 03 originals, and the Joint Stock Commercial Bank for Investment and Development of Vietnam – Ha Thanh Branch retains 01 original.

**Recipients:**

- *As addressed*
- *Filed at: GD&DVCK Department*

**FOR THE DIRECTOR  
DEPUTY DIRECTOR**

*signed*

**Lê Mỹ Linh**